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Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 6

**Complete if known**

Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
<i>ajp</i>	1.	6,198,580		Dallakian	03/06/2001	
<i>ajp</i>	2.	6,209,841		Houghton, Jr. et al.	04/03/2001	
<i>ajp</i>	3.	5,946,023		Blanding	08/31/1999	
<i>ajp</i>	4.	5,963,695		Joyce	10/05/1999	
<i>ajp</i>	5.	6,016,230		Nunnally et al.	01/18/2000	
<i>ajp</i>	6.	5,909,324		Bryant et al.	06/01/1999	
<i>ajp</i>	7.	5,941,920		Schubert	08/24/1999	
<i>ajp</i>	8.	5,852,519		Do et al.	12/22/1998	
<i>ajp</i>	9.	5,880,894		Blakley	03/09/1999	
<i>ajp</i>	10.	5,794,912		Whittaker et al.	08/18/1998	
<i>ajp</i>	11.	5,745,633		Giebel et al.	04/28/1998	
<i>ajp</i>	12.	5,930,057		Sechrist et al.	07/27/1999	
<i>ajp</i>	13.	5,757,561		Sechrist et al.	05/26/1998	
<i>ajp</i>	14.	5,765,800		Watanabe et al.	06/16/1998	
<i>ajp</i>	15.	6,022,005		Gran et al.	02/08/2000	
<i>ajp</i>	16.	5,825,558		Farmiga et al.	10/20/1998	
<i>ajp</i>	17.	5,774,614		Gilliland et al.	06/30/1998	
<i>ajp</i>	18.	5,748,821		Schempp et al.	05/05/1998	
<i>ajp</i>	19.	5,761,360		Grois et al.	06/02/1998	
<i>ajp</i>	20.	5,668,906		Yamamura et al.	09/16/1997	
<i>ajp</i>	21.	5,737,132		Luecke et al.	04/07/1998	
<i>ajp</i>	22.	5,717,804		Pan et al.	02/10/1998	
<i>ajp</i>	23.	5,653,317		Wakui	08/05/1997	
<i>ajp</i>	24.	5,751,877		Ishizaka et al.	05/12/1998	
<i>ajp</i>	25.	5,655,045		Morlion et al.	08/05/1997	
<i>ajp</i>	26.	5,619,609		Pan et al.	04/08/1997	
<i>ajp</i>	27.	5,598,500		Crespel et al.	01/28/1997	
<i>ajp</i>	28.	5,673,350		Song et al.	09/30/1997	
<i>ajp</i>	29.	5,570,444		Janssen et al.	10/29/1996	
<i>ajp</i>	30.	5,689,607		Vincent et al.	11/18/1997	
<i>ajp</i>	31.	5,603,387		Beard et al.	02/18/1997	
<i>ajp</i>	32.	5,725,066		Beard et al.	03/10/1998	
<i>ajp</i>	33.	5,638,482		Winterhoff et al.	06/10/1997	
<i>ajp</i>	34.	5,553,186		Allen	09/03/1996	
<i>ajp</i>	35.	5,758,004		Alarcon et al.	05/26/1998	

Examiner: *ajp* *Sharon* Date Considered: *9/17/04*

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Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 6

**Complete if known**

Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

<i>Appl</i>	36.	5,617,501	Miller et al.	04/01/1997	
<i>Appl</i>	37.	5,793,921	Wilkins et al.	08/11/1998	
<i>Appl</i>	38.	5,793,920	Wilkins et al.	08/11/1998	
<i>Appl</i>	39.	5,530,785	Sakamoto et al.	06/25/1996	
<i>Appl</i>	40.	5,530,547	Arnold	06/25/1996	
<i>Appl</i>	41.	5,581,077	Chirovsky et al.	12/03/1996	
<i>Appl</i>	42.	5,434,945	Burek et al.	07/18/1995	
<i>Appl</i>	43.	5,428,225	Silva et al.	06/27/1995	
<i>Appl</i>	44.	5,660,255	Schubert et al.	08/26/1997	
<i>Appl</i>	45.	5,823,307	Schubert et al.	10/20/1998	
<i>Appl</i>	46.	5,564,537	Shoureshi	10/15/1996	
<i>Appl</i>	47.	5,410,145	Coroy	04/25/1995	
<i>Appl</i>	48.	5,428,635	Zhiglinsky et al.	06/27/1995	
<i>Appl</i>	49.	5,367,140	Jonaneh et al.	11/22/1994	
<i>Appl</i>	50.	5,502,598	Kimura et al.	03/26/1996	
<i>Appl</i>	51.	5,563,972	Krausse et al.	10/08/1996	
<i>Appl</i>	52.	5,337,383	DeAngelis et al.	08/09/1994	
<i>Appl</i>	53.	5,528,718	Ray et al.	06/18/1996	
<i>Appl</i>	54.	5,463,647	Pan	10/31/1995	
<i>Appl</i>	55.	5,499,261	Welch et al.	03/12/1996	
<i>Appl</i>	56.	5,469,265	Measures et al.	11/21/1995	
<i>Appl</i>	57.	5,311,278	Rosencher	05/10/1994	
<i>Appl</i>	58.	5,251,863	Gossman et al.	10/12/1993	
<i>Appl</i>	59.	5,300,755	Nishitani et al.	04/05/1994	
<i>Appl</i>	60.	5,327,061	Gullapalli	07/05/1994	
<i>Appl</i>	61.	5,434,944	Kerry et al.	07/18/1995	
<i>Appl</i>	62.	5,285,995	Gonzalez et al.	02/15/1994	
<i>Appl</i>	63.	5,218,610	Dixon	06/08/1993	
<i>Appl</i>	64.	5,214,735	Henneberger et al.	05/25/1993	
<i>Appl</i>	65.	5,321,539	Hirabayashi et al.	06/14/1994	
<i>Appl</i>	66.	5,189,725	Bensel, III et al.	02/23/1993	
<i>Appl</i>	67.	5,191,587	Hanson et al.	03/02/1993	
<i>Appl</i>	68.	5,183,350	Kramer	02/02/1993	
<i>Appl</i>	69.	5,289,559	Wilson	02/22/1994	
<i>Appl</i>	70.	5,219,051	Davis	06/15/1993	
<i>Appl</i>	71.	5,194,993	Bedzyk	03/16/1993	
<i>Appl</i>	72.	5,319,435	Melle et al.	06/07/1994	
<i>Appl</i>	73.	5,218,258	Shirasu et al.	06/08/1993	
<i>Appl</i>	74.	5,245,552	Andersson et al.	09/14/1993	
<i>Appl</i>	75.	5,138,496	Pong	08/11/1992	
<i>Appl</i>	76.	5,140,470	Luecke	08/18/1992	

Examiner: *Appl Shoureshi* Date Considered: *7/17/04*

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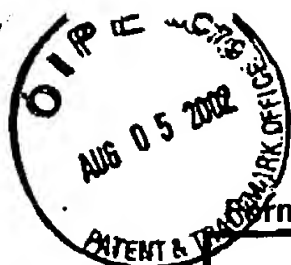
Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

<i>Copy</i>	77.	5,146,532		Hodge	09/08/1992	
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<i>Copy</i>	79.	5,150,236		Patel	09/22/1992	
<i>Copy</i>	80.	5,068,749		Patel	11/26/1991	
<i>Copy</i>	81.	5,044,719		Nakamura	09/03/1991	
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<i>Copy</i>	83.	5,000,415		Sandercock	03/19/1991	
<i>Copy</i>	84.	5,077,747		Hemmer et al.	12/31/1991	
<i>Copy</i>	85.	4,978,910		Knox et al.	12/18/1990	
<i>Copy</i>	86.	4,966,474		Geiger	10/30/1990	
<i>Copy</i>	87.	4,988,165		Ishii et al.	01/29/1991	
<i>Copy</i>	88.	5,058,124		Cameron et al.	10/15/1991	
<i>Copy</i>	89.	4,914,867		Saito et al.	04/10/1990	
<i>Copy</i>	90.	4,915,482		Collins et al.	04/10/1990	
<i>Copy</i>	91.	4,854,667		Ebata et al.	08/08/1989	
<i>Copy</i>	92.	4,837,768		Schmid	06/06/1989	
<i>Copy</i>	93.	4,913,527		Jessop	04/03/1990	
<i>Copy</i>	94.	4,988,159		Turner et al.	01/29/1991	
<i>Copy</i>	95.	4,850,671		Finzel	07/25/1989	
<i>Copy</i>	96.	4,800,262		Lentine	01/24/1989	
<i>Copy</i>	97.	4,842,397		Eisler	06/27/1989	
<i>Copy</i>	98.	4,823,220		Milster et al.	04/18/1989	
<i>Copy</i>	99.	4,807,750		Ryder et al.	02/28/1989	
<i>Copy</i>	100.	4,782,223		Suzuki	11/01/1988	
<i>Copy</i>	101.	4,779,959		Saunders	10/25/1988	
<i>Copy</i>	102.	4,747,657		Chaoui et al.	05/31/1988	
<i>Copy</i>	103.	4,938,564		Romero	07/03/1990	
<i>Copy</i>	104.	4,787,691		Lorenzo et al.	11/29/1988	
<i>Copy</i>	105.	4,763,979		Heywang	08/16/1988	
<i>Copy</i>	106.	4,850,261		Greene	07/25/1989	
<i>Copy</i>	107.	4,678,271		Beaulieu	07/07/1987	
<i>Copy</i>	108.	4,779,946		Pimpinella et al.	10/25/1988	
<i>Copy</i>	109.	4,657,429		Morris	04/14/1987	
<i>Copy</i>	110.	4,701,013		Jurczynszyn et al.	10/20/1987	
<i>Copy</i>	111.	4,679,908		Goodwin	07/14/1987	
<i>Copy</i>	112.	4,714,315		Krause	12/22/1987	
<i>Copy</i>	113.	4,708,429		Clark et al.	11/24/1987	
<i>Copy</i>	114.	4,720,163		Goodwin et al.	01/19/1988	
<i>Copy</i>	115.	4,759,600		Caron et al.	07/26/1988	
<i>Copy</i>	116.	4,677,290		Mitch	06/30/1987	
<i>Copy</i>	117.	4,746,195		Auracher et al.	05/24/1988	

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Form PTO/SB/08A (Modified)

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STATEMENT BY APPLICANT**

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Sheet 4 of 6

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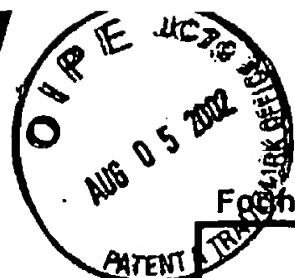
Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

<i>Chen</i>	118.	4,702,556		Ishii et al.	10/27/1987	
<i>Chen</i>	119.	4,623,220		Grabbe et al.	11/18/1986	
<i>Chen</i>	120.	4,673,245		Kling et al.	06/16/1987	
<i>Chen</i>	121.	4,767,174		Carenco et al.	08/30/1988	
<i>Chen</i>	122.	4,673,244		Miles	06/16/1987	
<i>Chen</i>	123.	4,773,730		Sedlmayr	09/27/1988	
<i>Chen</i>	124.	4,647,147		Pikulski et al.	03/03/1987	
<i>Chen</i>	125.	4,748,632		Preston	05/31/1988	
<i>Chen</i>	126.	4,647,331		Koury, Jr. et al.	03/03/1987	
<i>Chen</i>	127.	4,615,031		Eales et al.	09/30/1986	
<i>Chen</i>	128.	4,506,108		Kersch et al.	03/19/1985	
<i>Chen</i>	129.	4,469,929		Rosen et al.	09/04/1984	
<i>Chen</i>	130.	4,479,698		Landis et al.	10/30/1984	
<i>Chen</i>	131.	4,550,410		Chenausky et al.	10/29/1985	
<i>Chen</i>	132.	4,500,165		Scholl et al.	02/19/1985	
<i>Chen</i>	133.	4,523,802		Sakaguchi et al.	06/18/1985	
<i>Chen</i>	134.	4,523,810		Goss et al.	06/18/1985	
<i>Chen</i>	135.	4,469,399		Cowen et al.	09/04/1984	
<i>Chen</i>	136.	4,435,037		Abramson et al.	03/06/1984	
<i>Chen</i>	137.	4,387,956		Cline	06/14/1983	
<i>Chen</i>	138.	4,664,732		Campbell et al.	05/12/1987	
<i>Chen</i>	139.	4,355,323		Kock	10/19/1982	
<i>Chen</i>	140.	4,350,867		Kinoshita et al.	09/21/1982	
<i>Chen</i>	141.	4,332,469		Wendland	06/01/1982	
<i>Chen</i>	142.	4,296,998		Dufft	10/27/1981	
<i>Chen</i>	143.	4,316,678		F'Geppert	02/23/1982	
<i>Chen</i>	144.	4,295,152		Khoe et al.	10/13/1981	
<i>Chen</i>	145.	4,268,113		Noel, Jr.	05/19/1981	
<i>Chen</i>	146.	4,403,243		Hakamada	09/06/1983	
<i>Chen</i>	147.	4,237,474		Ladany	12/02/1980	
<i>Chen</i>	148.	4,167,744		Nyul	09/11/1979	
<i>Chen</i>	149.	4,357,072		Goodfellow et al.	11/02/1982	
<i>Chen</i>	150.	4,164,363		Hsu	08/14/1979	
<i>Chen</i>	151.	4,199,222		Ikushima et al.	04/22/1980	
<i>Chen</i>	152.	4,144,504		Leggett et al.	03/13/1979	
<i>Chen</i>	153.	4,079,404		Comerford et al.	03/14/1978	
<i>Chen</i>	154.	4,088,396		Edelstein	05/09/1978	
<i>Chen</i>	155.	4,030,811		Khoe et al.	06/21/1977	
<i>Chen</i>	156.	4,119,363		Camlibel et al.	10/10/1978	
<i>Chen</i>	157.	4,065,203		Goell et al.	12/27/1977	
<i>Chen</i>	158.	3,917,201		Roll	11/04/1975	

Examiner: Asel Shorser Date Considered: 8/17/04

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Sheet 5 of 6

**Complete if known**

Application Number	09/805,598
Filing Date	March 12, 2001
First Named Inventor	Van Diep Nguyen
Group Art Unit	3628
Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

<i>Appl</i>	159.	3,945,246		Wadensten	03/23/1976	
<i>Appl</i>	160.	3,751,025		Beery et al.	08/07/1973	
<i>Appl</i>	161.	3,667,525		Spieth	06/06/1972	
<i>Appl</i>	162.	3,620,558		Daniel G. MacMillan	11/16/1971	
<i>Appl</i>	163.	3,578,278		Norman C. Pickering	05/11/1971	
<i>Appl</i>	164.	3,577,791		Jan Abraham Vanden Broek	05/04/1971	
<i>Appl</i>	165.	3,601,476		Donald R. MacKenzie	08/24/1971	
<i>Appl</i>	166.	3,565,515		C.F. DE MEY II	02/23/1971	
<i>Appl</i>	167.	3,460,786		E. I. RIVIN	08/12/1969	
<i>Appl</i>	168.	3,533,012		W. M. JOHNSON ET AL.	10/06/1970	
<i>Appl</i>	169.	3,478,608		V. MET	11/18/1969	
<i>Appl</i>	170.	3,442,475		E. I. RIVIN	05/06/1969	
<i>Appl</i>	171.	3,357,268		T.L. RICHTER	12/12/1967	
<i>Appl</i>	172.	2,367,139		T.I. RESS	01/09/1945	
<i>Appl</i>	173.	1,306,906		F. O. JAQUES, SR.	06/17/1919	
<i>Appl</i>	174.	1,143,165		F. BEGUSCH	06/15/1915	

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**GROUP 3600****FOREIGN PATENT DOCUMENTS**

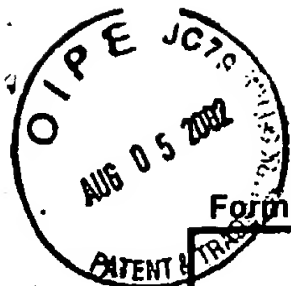
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
<i>Appl</i>	175.		CA 2,199,423		SONG, Ike J. et al.	03/07/1996	
<i>Appl</i>	176.		GB 2 131 971 A		Ronald Bicknell	06/27/1984	
<i>Appl</i>	177.		GB 2 124 402 A		Brian Arthur Eales et al.	07/27/1982	

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

<i>Appl</i>	178.	J. M. Kahn, C. A. Burrus, and G. Raybon, "High-Stability 1.5 um External-Cavity Semiconductor Lasers for Phase-Lock Applications, Photonics Technology Letters, Vol. 1. No. 7, July 1989.
<i>Appl</i>	179.	P. Zorabedian and W. R. Trutna, Jr., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", 1988, Optical Society of America.

Examiner: *Appl Sharrow*Date Considered: *9/17/04*

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Sheet 6 of 6

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Application Number	09/805,598
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Examiner Name	Unknown
Attorney Docket Number	155603-0209 (P053)

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Appl	180.	A. Schremer and C. L. Tang, "Single-Frequency tunable external-cavity semiconductor laser using an electro-optic birefringent modulator", Appl. Phys. Lett., Vol. 55, No. 1, 3 July 1989.
Appl	181.	M. W. Maeda, J. S. Patel, D. A. Smith, Chinlon Lin, M. A. Saifi, and A. Von Lehman, "An Electronically Tunable Fiber Laser with a Liquid-Crystal Etalon Filter as the Wavelength-Tuning Element", IEEE Photonics Technology Letters, Vol. 2, No. 11, November 1990.
Appl	182.	Katsuhiko Hirabayashi, Hiroyuki Tsuda, and Takashi Kurokawa, "Tunable Liquid-Crystal Fabry-Perot Interferometer Filter for Wavelength-Division Multiplexing Communication Systems", Journal of Lightwave Technology, Vol. 11, No. 12, December 1993.
Appl	183.	Stephen R. Mallinson, "Wavelength-selective filters for single-mode fiber WDM systems using Fabry-Perot interferometers", APPLIED OPTICS, Vol. 26, No. 3, 1 February 1987.
Appl	184.	W. Gunning, J. Pasko, J. Tracy, "A liquid crystal tunable spectral filter: visible and infrared operation", SPIE Vol. 268 Imaging Spectroscopy (1981).
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